Attorney Docket No. 4400-053057



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

polication No.

10/562,517

Confirmation No.

8067

Applicant

James R. Howard

Filing Date

June 30, 2006

Title

COMPOSITION FOR THE TREATMENT OF

DYSFUNCTIONAL ENERGY METABOLISM

SYNDROME

Art Unit

1614

Customer Number

28289

MAIL STOP AMENDMENT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 CFR §§ 1.56, 1.97, and 1.98, the Applicants hereby submit this Information Disclosure Statement (IDS), together with a completed Form PTO/SB/08a. The cited U.S. patents are not enclosed pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003.

This application is the U.S. national phase application of PCT Application Number PCT/US04/36308, filed October 29, 2004. U.S. Patent No. 6,562,869 was cited in an International Preliminary Report on Patentability (IPRP), dated March 6, 2006 and in an International Search Report (ISR) dated March 28, 2005 in the underlying PCT Application. A copy of the ISR and IPRP is provided herewith. The claims in the present invention are substantially similar to the claims filed in the PCT application and it should be noted that the IPRP states that Claims 1-18 meet the international criteria for novelty, inventive step, and industrial applicability.

> I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 5, 2007

> > Lisa R. Morany Name of Person Mailing Paper)

04/05/2007 Date

Signature

Application No. 10/562,517 Paper Dated April 5, 2007 Attorney Docket No. 4400-053057

U.S. Patent Nos. 5,889,055; 5,973,004; and 6,562,869 were cited on page 2 of the specification.

Since this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits, it is timely under 37 C.F.R. §1.97(b)(3) and no fee is required. The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

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Barbara E. Johnson
Registration No. 31,198
Attorney for Applicants

Attorney for Applicants 700 Koppers Building

436 Seventh Avenue

Pittsburgh, Pennsylvania 15219

Telephone: (412) 471-8815 Facsimile: (412) 471-4094

E-mail: webblaw@webblaw.com

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Sheet 1

Substitute for form 1449/PTO

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tuction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/562,517			
Filing Date	June 30, 2006			
First Named Inventor	James R. Howard			
Art Unit	1614			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	4400-053057			

				DOCUMENTS	T. Danie California Linea M/ham	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear	
	1	^{US-} 5,889,055	03-30-1999	Howard		
	2	^{US-} 5,973,004	10-26-1999	Howard		
	3	^{US-} 6,139,872	10-31-2000	Walsh		
	4	^{US-} 6,551,629	04-22-2003	Gorsek		
	5	^{US-} 6,562,869	05-13-2003	Hamilton et al.		
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Examiner			Date		
Signature			Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Transation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.